



UPHA 2017 CLASSICS ELIGIBILITY FORM

(Please PRINT all information clearly & only ONE horse/pony per form! **FORM WILL NOT BE PROCESSED WITHOUT CURRENT OWNER AND TRAINER MEMBERSHIP NUMBER INCLUDED**).

APPLICATIONS FILLED OUT AT SHOW WITHOUT ALL INFORMATION WILL NOT BE PROCESSED AND ANY WINNINGS WILL BE FORFEITED. W-9 FORMS MUST ACCOMPANY CLASSIC APPLICATION.

No horse or pony will be allowed to show in any UPHA Classics without being made Eligible to do so. Showing an ineligible animal will force forfeiture of any prize money won and the horse/pony will have to re-qualify at another show after the proper fees have been paid. This will be strictly enforced...no exceptions!! THE ELIGIBILITY FEE OF \$100 PER HORSE/PONY MUST BE POSTMARKED ON OR BEFORE APRIL 1ST. BEGINNING APRIL 2ND, THE ELIGIBILITY FEE BECOMES \$150 PER HORSE/PONY.

Name of Entry: _____

Registration Number: _____ Sire: _____ Dam: _____

To Be Shown In: _____ Saddlebred Division - 3 Year Olds and Under

_____ Hackney Pony Division - 4 Year Olds and Under

_____ Morgan Division – *3 Year Olds Only!*

Owner's Name (required): _____ *Owner's UPHA Membership Number (required): _____

Owner's Farm or Stable Name: _____

Owner's Address: _____

Owner's City, State, Zip: _____

Owner's Telephone Number _____

Trainer's Name: _____ *Trainer's UPHA Membership Number (required): _____

Trainer's Address: _____

Trainer's City, State, Zip: _____

Trainer's Telephone Number _____

PAYMENT: ***A 4% Convenience Fee will be added to All Credit Card Transactions.

_____ Check or Money Order enclosed (Make check payable to UPHA) _____ Visa _____ MasterCard _____ AMEX

Credit Card #: _____ CVV# _____ Exp. Date _____ / _____

Billing Address of Card: _____

Signature: _____ Print Name: _____

UPHA MEMBERSHIP REQUIREMENTS: The professional trainer of a UPHA Classic entry must be an Active member of the UPHA in good standing, and their membership number must appear on the entry blank and the eligibility form. The recorded owner of the UPHA Classic entry must also be a member of the UPHA, if owned by an amateur exhibitor they must be a current Associate member of the UPHA in good standing, and their membership number must appear on the entry blank and the eligibility form. The exhibitor or handler showing each UPHA Classics entry must be a current UPHA Professional or Associate member.

CLASS REQUIREMENTS: The UPHA Classics may be offered for Three-Year-Olds and Under for the American Saddlebred, Three-Year-Olds only for Morgan Horse divisions, and Four-Year-Olds and Under for the Hackney Pony divisions.

In order to show in a UPHA Classic, all horses and ponies must be registered with their appropriate breed registry and **MUST** be shown under their registered name.

RULES FOR ENTERING: A non-refundable Eligibility Fee of \$100 paid to the UPHA Office postmarked on or before April 1st of each year. After April 1st, the Eligibility Fee becomes \$150 per horse. Payment of the Eligibility Fee will be made payable to UPHA, Inc. in the name of the entered horse or pony and will make that animal eligible to compete in any and all UPHA Classics classes held that year. Eligibility remains with the animal throughout the year should transfer of ownership occur. Eligibility Fees collected will be used as prize money in the UPHA Classic Grand Championships.

All Eligibility Fees collected within a breed will be used in that breed's championship classes.

The entry fee for each qualifying class is \$100 and is made in two payments. The first payment, the Declaration Fee, is \$50 and must be made by the entry closing date of the particular horse show. The second payment, the Starting Fee, is also \$50 and is due 24 hours prior to the class, whenever practical. All entry fees, or partial entry fees, are added to the total purse of the class.

A horse may be shown in one or more Classic; however, the Declaration and Starting Fees must be paid for each Classic in which it will be shown. This also applies to showing in more than one division. Fees are non-refundable in all situations. All USEF rules apply.

For further information, please contact the UPHA at 859-231-5070 or email info@uphaonline.com.

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type See Specific Instructions on page 2.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification; check only one of the following seven boxes: <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner. <input type="checkbox"/> Other (see instructions) ▶ _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Applies to accounts maintained outside the U.S.)</small>
	5 Address (number, street, and apt. or suite no.)	Requester's name and address (optional)
	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)																																																																							
Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 3.																																																																							
Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="10" style="text-align: center;">Social security number</td> </tr> <tr> <td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td> </tr> <tr> <td colspan="4" style="text-align: center;">-</td> <td colspan="2" style="text-align: center;">-</td> <td colspan="4"></td> </tr> <tr> <td colspan="10" style="text-align: center;">or</td> </tr> <tr> <td colspan="10" style="text-align: center;">Employer identification number</td> </tr> <tr> <td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td><td style="width: 20px;"> </td> </tr> <tr> <td colspan="4" style="text-align: center;">-</td> <td colspan="6"></td> </tr> </table>	Social security number																				-				-						or										Employer identification number																				-									
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Part II Certification	
Under penalties of perjury, I certify that:	
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and 3. I am a U.S. citizen or other U.S. person (defined below); and 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.	
Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.	

Sign Here	Signature of U.S. person ▶	Date ▶
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.